

**APPENDIX A TO SUBPART I OF PART 103 –  
CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS  
FOR FOREIGN BANKS**

[OMB CONTROL NUMBER 1505-0184]

*The information contained in this Certification is sought pursuant to Sections 5318(f) and 5318(k) of Title 31 of the United States Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).*

This Certification should be completed by any **foreign bank** that maintains a **correspondent account** with any U.S. bank or U.S. broker-dealer in securities (a **covered financial institution** as defined in 31 C.F.R. 103.175(f)). An entity that is not a foreign bank is not required to complete this Certification.

A **foreign bank** is a bank organized under foreign law and located outside of the United States (see definition at 31 C.F.R. 103.11(o)). A **bank** includes offices, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state (see definition at 31 C.F.R. 103.11(c)).\*

A **Correspondent Account** for a foreign bank is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or handle other financial transactions related to the foreign bank.

*Special instruction for foreign branches of U.S. banks:* A branch or office of a U.S. bank outside the United States is a foreign bank. Such a branch or office is not required to complete this Certification with respect to Correspondent Accounts with U.S. branches and offices of the same U.S. bank.

*Special instruction for covering multiple branches on a single Certification:* A foreign bank may complete one Certification for its branches and offices outside the United States. The Certification must list all of the branches and offices that are covered and must include the information required in Part C for **each** branch or office that maintains a Correspondent Account with a Covered Financial Institution. Use attachment sheets as necessary.

A. The undersigned financial institution, **National Bank of Pakistan** (“**Foreign Bank**”) hereby certifies as follows:

B. **Correspondent Accounts Covered by this Certification:** Check one box.

- This Certification applies to all accounts established for Foreign Bank by Covered Financial Institutions.

\* A “foreign bank” does not include any foreign central bank or monetary authority that functions as a central bank, or any international financial institution or regional development bank formed by treaty or international agreement.

**C. Physical Presence / Regulated Affiliated Status:** Check one box and complete the blanks.

- Foreign Bank maintains a **physical presence** in any country. That means:
- Foreign Bank has a place of business at the following street address:  
11.Chundrigar Road Karachi, where Foreign Bank employs one or more individuals on a full-time basis and maintains operating records related to its banking activities.
  - The above address is in Pakistan (insert country), where Foreign Bank is authorized to conduct banking activities.
  - Foreign Bank is subject to inspection by State Bank of Pakistan, (insert Banking Authority), the banking authority that licensed Foreign Bank to conduct banking activities.

**Note: This certificate covers all the Overseas branches of National Bank of Pakistan, as per list attached.**

- Foreign Bank does not have a physical presence in any country, but Foreign Bank is a **regulated affiliate**. That means:
- Foreign Bank is an affiliate of a depository institution, credit union, or a foreign bank that maintains a physical presence at the following street address:  
\_\_\_\_\_, where it employs one or more persons on a full-time basis and maintains operating records related to its banking activities.
  - The above address is in \_\_\_\_\_ (insert country), where the depository institution, credit union, or foreign bank is authorized to conduct banking activities.
  - Foreign bank is subject to supervision by \_\_\_\_\_ (insert Banking Authority), the same banking authority that regulates the depository institution, credit union, or foreign bank.
- Foreign Bank does **not** have a physical presence in a country and is **not** a regulated affiliate.

**D. Indirect Use of Correspondent Accounts:** Check box to certify.

- No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. Foreign Bank hereby certifies that it does not use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.

**E. Ownership Information:** Check box 1 or 2 below, if applicable.

1. **Form FR Y-7 is on file.** Foreign Bank has filed with the Federal Reserve Board a current Form FR Y-7 and has disclosed its ownership information on Item 4 of Form FR Y-7.
2. **Foreign Bank's shares are publicly traded.** Publicly traded means that the shares are traded on an exchange or an organized over-the-counter market that

is regulated by a foreign securities authority as defined in section 3(a)(50) of the Securities Exchange Act of 1934 (15 U.S.C. 78c(a)(50)).

If **neither** box 1 or 2 of Part E is checked, complete item 3 below, if applicable.

3. Foreign Bank has no **owner(s)** except as set forth below. For purposes of this Certification, **owner** means any person who, directly or indirectly, (a) owns, controls, or has the power to vote 25 percent or more of any class of voting securities or other voting interests of Foreign Bank or (b) controls in any manner the election of a majority of the directors (or individuals exercising similar functions) of Foreign Bank. For purposes of this Certification, (i) **person** means any individual, bank, corporation, partnership, limited liability company or any other legal entity; (ii) **voting securities or other voting interests** means securities or other interests that entitle the holder to vote for or select directors (or individuals exercising similar functions); and (iii) members of the same family\* shall be considered one **person**.

Name	Address

<sup>1</sup>  
F. **Process Agent:** Complete the following:

The following individual or entity: National Bank of Pakistan is a resident of the United States at the following street address: 100, Wall Street N.Y. 10005, and is authorized to accept service of legal process on behalf of Foreign Bank from the Secretary of the Treasury or the Attorney General of the United States pursuant to Section 5318(k) of title 31, United States Code.

\* The same family means parents, spouses, children, siblings, uncles, aunts, grandparents, grandchildren, first cousins, stepchildren, stepsiblings, parents-in-law and spouses of any of the foregoing. In determining the ownership interests of the same family, any voting interest of any family member shall be taken into account.

**G. General**

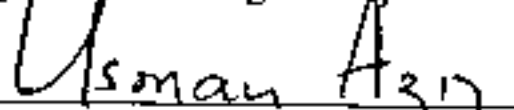
Foreign Bank hereby agrees to notify in writing each Covered Financial Institution at which it maintains any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30 calendar days of such change.

Foreign Bank understands that each Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States. Foreign Bank further understands that the statements contained in this Certification may be transmitted to one or more Departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.

I, USMAN AZIZ (name of signatory), certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct, and that I am authorized to execute this Certification on behalf of Foreign Bank.

**National Bank of Pakistan**

[Name of Foreign bank]



[Signature]

**Usman Aziz**

Senior Vice President

Compliance Group

**USMAN AZIZ**

[Printed Name]

National Bank of Pakistan  
Head Office, Karachi.

+92(021)-9921-3187

[Phone Number]

+92(021)-9921-2219

[Fax Number]

**SENIOR VICE PRESIDENT**

**COMPLIANCE GROUP**

[Title]

Compliance.group@nbp.com.pk

[E-mail address]

Executed on this 13<sup>th</sup> day of July, 2010

## **LIST OF FOREIGN BRANCHES**

### **Afghanistan**

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#### Jalalabad

*Bank Street, Nr Haji Qadeer House, Nahya Awal, Jalalabad, Nangarhar*

Tel: +93 60 756003542

Fax: +93 60 79180643

#### Kabul

*House No 2, Street No 10, Kabul, Wazir Akbar Khan.*

Tel: +93 20 2302729,

Fax: +93 20 2301659

### **Bangladesh**

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#### Chittagong

*Daar-e-Shahidi, 69 Agrabad Commercial Area, Chittagong, Chittagong, Chittagong*

Tel: +880 31 2520292, 31 2520272

Fax: +880 31 2520305

#### Dhaka

*79 Motijheel Commercial Area, Dhaka 1000, Dhaka, Dhaka*

Tel: +880 2 9560248/9

Fax: +880 2 9560247

### **France**

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#### Paris

*90 Avenue Des Champs Elysees, 75008 Paris*

Tel: +33 1 42562538

Fax: +33 1 45636604

### **Germany**

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#### Frankfurt am Main

*Postfach 101643, Holzgraben 31, 60313 Frankfurt am Main*

Tel: +49 69 97571211

Fax: +49 69 79409171,

### **Hong Kong**

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#### Kowloon

*PO Box 99006, 1103 Fourseas Building, 208-212 Nathan Road, Kowloon, Tsimshatsui*

Tel: +852 23697355 (7 lines), 27245622

Fax: +852 23691780

## Japan

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### Osaka

*4th Floor, Sanei Shinsaibashi Building, 1-13-15 Nishi Shinsaibashi, Osaka 542-0086, Osaka*

Tel: +816-6244-8934, 36 & 38

Fax: +816-6244-8938

### Tokyo

*PO Box 272, SK Building No 20, 3F-4, Nishi Shimbashi-2 Chome, Minato-ku, Tokyo 105-91, Tokyo*

Tel: +81 3 35041835, 3 35020331-3

Fax: +81 3 35020359, 3 35025402

## Korea (Republic of)

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### Seoul

*PO Box 1663, 12th Floor, Kyobo Building, 1 Chongro IKA, Chongro-ku, Seoul 110 121*

Tel: +82 2 7345815, 2 7340277

Fax: +82 2 7345817

## Kyrgyzstan

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### Bishkek

*8 MANAS AVENUE, Bishkek*

BIK: 127001

*Building No 84, Moscovskaya Street, 720021 Bishkek, Kyrgyz Republic*

Tel: +996 312 627243 & 50

Fax: +996 312 900661, 312 627244

## Saudi Arabia

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### Riyadh

*Al-Guthmi Building No. 857 near Obeikan book shop P.O.Box No. 63020, Riyadh-11516*

Tel: +966 1 4620750

Fax: +966 1 2178869

## Turkmenistan

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### Ashgabat

*Ground Floor, World Trade Complex, 1 Seidi Street, 744000 Ashgabat*

Tel: +993 12 350466 & 353516

Fax: +993 12 350465

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## USA

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### New York

*PO Box 500, 100 Wall Street, New York, NY 10005*

Tel: +1 212 3448822, 212 3448833,

Fax: +1 212 3448826

### Washington

*1875 Connecticut Avenue NW, Washington, DC 20009*

Tel: +1 202 4627373, 202 4623880

Fax: +1 202 6672515

Tlx: 6737932 natpak dc

Email: [nbpwashington@nbpusa.com](mailto:nbpwashington@nbpusa.com)

ABA: 054001327

## Offshore Banking Units

### Bahrain

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#### Manama

*PO Box 775, 9 Manama Centre, Government Ave, Manama*

Tel: +973 17 224451, 17 224461

Fax: +973 17 224411